03-31-04

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	10/621,198
Filing Date	July 16, 2003
First Named Inventor	Wedell, et al.
Art Unit	2875
Examiner Name	Sember
Attorney Docket Number	50010/287195 / Express Mail # 333523935

Total Number of Page		Attorn	ey Docket Number	50010/287195 / Express Mail # 333523935	
		ENCL	OSURES	(check all that apply)	
Fee Transmittal	Form	Drawir	ng(s)		After Allowance Communication to Group
Fee Attache	Licens	ing-relat	ed Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	eply	Petitio	n	, .	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final			n to Con onal App		Proprietary Information
Affidavits/de	claration(s)			ney, Revocation espondence Address	Status Letter
Extension of Tim	Terminal Disclaimer			Other Enclosure(s) (please identify below):	
Express Abandonment Request		Request for Refund  CD, Number of CD(s)			-Information Disclosure Statement - 6 publications - Statement regarding Patent Surrender
Information Disc	losure Statement				- Return postcard
Certified Copy of Document(s)	f Priority	Rema	rks		
Response to Mis					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGI	NATURE OF	APPLIC	ANT, ATTORNEY, OR	AGENT
Firm or Individual name	or GEOFFREY K. GAVIN, Reg. No. 47591			7591	
Signature	ON	Ke	/		
Date	March <u>29</u> , 20	004 ,			
$\overline{}$		CEI	RTIFICA	TE OF MAILING	

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Reissue Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

**KATHLEEN BENNETT** Typed or printed name Signature March 29, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

In re Applica	<i>[8]</i>	ED STATES PATE	ENT AND	O TRADEMARK OFFICE
(SA-FRE)		Wedell, et al.	)	Art Unit: 2875
U.S. Applicat	tion No.:	10/621,198	)	
Date Filed:		July 16, 2003	)	Examiner: Sember, T.
For:	ROADWAY	LUMINAIRE	) )	

Attorney docket No.: 50010/287195

Kathleen Bennett

Mail Stop Reissue Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the attached PTO/SB/08a are submitted for consideration by the Examiner. With regard to the requirements of C.F.R. 1.198(a)(2), pursuant to the July 11, 2003 administrative notice issued by Deputy Commissioner Stephen G. Kunin, copies of the cited U.S. Patents are not enclosed.

References that were not before the PTO during examination of the original patent, U.S. Patent No. 5,803,590, are marked with a (\*) in the second column of the attached form for the Examiner's reference. Copies of the foreign references so marked, along with an

USSN: US 10//621,198

Filed: July 16, 2003

INFORMATION DISCLOSURE STATEMENT

abstract or translation of such references, are included with this submission. Copies of the

foreign references that were before the PTO during examination of the '590 Patent have not

been included as the undersigned has been advised by the Examiner that copies of those

references are available in the original file and need not be re-submitted.

Submission of the references provided in this Information Disclosure is not intended

to constitute an admission that any reference referred to herein is prior art for this invention

unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing

of this Information Disclosure Statement shall not be construed to mean that a search has

been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed before the mailing of the first

Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be

required. However, if a first Office Action on the merits has issued, please consider this

Information Disclosure Statement under 37 C.F.R. 1.97(c). The Commissioner is hereby

authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

Geoffrey K. Gavin

Registration No. 47,591

Date: March <u>19</u>, 2004

KILPATRICK STOCKTON LLP

1100 Peachtree Street, Suite 2800

Atlanta, GA 30309

404.815.6046

2



Mark Taylor Wedell, et al.

Reissue Application for

Art Unit:

2875

U.S. Patent No. 5,803,590 issued September 8, 1998

Examiner:

Sember, T.

Serial No.:

10/621,198

Filed:

July 16, 2003

For:

ROADWAY LUMINAIRE

Assigned to: Acuity Brands, Inc.

Attorney Docket No. 50010/287195

Mail Stop Reissue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### STATEMENT CONCERNING PATENT SURRENDER

Sir:

At the request of Examiner Sember, undersigned counsel provides the following statement concerning the circumstances of patent surrender.

In accordance with the provisions of 37 C.F.R. § 1.178, Acuity Brands, Inc., the sole owner of U.S. Patent No. 5,803,590 (the "'590 Patent"), surrendered the '590 Patent concurrently with the filing of this reissue application on July 16, 2003. The Statement of

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Mail Stop Reissue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 27, 2004.

ATLLIB01 1646706 1

**Statement Concerning Patent Surrender** 

U.S. Serial No. 10/621,198

Filed: July 16, 2003

Patent Surrender was duly executed by Kenyon W. Murphy, Senior Vice President and

General Counsel of Acuity Brands, Inc. The original, ribbon copy of the '590 Patent was

attached to the Statement of Patent Surrender executed by Mr. Murphy. A copy of the return

postcard stamped received by the PTO on July 16, 2003 is attached for your reference.

Respectfully submitted,

Geoffrey K. Gavin

Registration No. 47,591

Attorney for Assignee

Date: March 27, 2004

OF COUNSEL:

KILPATRICK STOCKTON LLP

**Suite 2800** 

1100 Peachtree Street

Atlanta, Georgia 30309-4530

(404) 815-6046

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE enwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Dostitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of

Complete if Known						
Application Number	10/621,198					
Filing Date	July 16, 2003					
First Named Inventor	Wedell, et al.					
Art Unit	2875					
Examiner Name	Sember					
Attorney Docket Number	50010/287195					

	U.S. PATENT DOCUMENTS							
Examiner Initials			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document .	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		3,018,081	01/1962	Waldbauer				
		3,023,394	02/1962	Hubbell				
		3,071,683	01/01/63	Queale				
		3,094,220	06/1963	Harling				
		3,120,985	02/1964	Hubbell				
		3,142,501	07/28/64	Clark et al.				
		3,146,054	08/1964	Shearner et al.				
		3,177,462	04/1965	Sharnmark				
		3,184,199	05/18/65	Clark et al.				
	*	3,201,271	08/1965	Simmons, Jr., et al.				
	-	3,297,864	01/10/67	Waldbauer				
		3,387,866	06/11/68	Baldwin				
	*	3,433,941	03/1969	Hall				
		3,432,629	03/11/69	Field et al.				
		3,530,287	09/22/70	Husby				
	*	3,562,510	02/1971	Baldwin				
		3,562,509	02/1971	Kahl, Jr.				
_		3,614,711	10/1971	Anderson et al.				
		3,777,136	12/1973	Okuda				
	*	3,941,211	04/1976	Elms				
	*	3,950,639	04/1976	Van Steenhoven				
	*	4,029,955	06/1977	Tart				
	*	4,059,753	11/1977	Tart, et al.				
	*	4,096,555	06/1978	Lasker				
		4,143,413	03/06/79	Keliy				
		4,152,757	05/01/79	Bilson et al.				
		4,167,033	09/04/79	Fletcher				

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 5

•	Complete if Known	
Application Number	10/621,198	
Filing Date	July 16, 2003	
First Named Inventor	Wedell, et al.	
Art Unit	2875	
Examiner Name	Sember	
Attorney Docket Number	50010/287195	

			U.S. PATENT		
Examiner Initials	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-	*	4,200,901	04/1980	Shaffer et al.	
		4,229,064	10/1980	Vetter et al.	
	*	4,255,781	03/1981	Plemmons, et al.	
		4,320,443	03/1982	Zwillich	
		4,345,306	08/1982	Summey	
		4,360,863	11/23/82	Barnes et al.	
		4,378,584	03/1983	Russello	
_		4,379,321	04/05/83	Plemmons et al.	
		4,384,316	05/17/83	de Vos et al.	
		4,388,680	06/14/83	Moore .	
		4,392,192	07/1983	Steadman	
- "		4,426,676	01/17/84	Taylor	
	*	4,434,456	02/1984	Taylor	
		4,433,367	02/21/84	Shelby et al.	
		4,449,168	05/15/84	Ewing	
	*	4,472,768	09/1984	Quiogue	
		4,473,873	09/1984	Quiogue	
		4,500,946	02/19/85	Mikola -	
	*	4,509,106	04/1985	Mayer et al.	
		4,538,217	08/27/85	Ewing et al.	
		4,551,793	11/1985	Mellema	
	*	4,559,587	12/1985	Quiogue et al.	
	*	4,617,619	10/1986	Gehly	
		4,639,843	01/27/87	Compton	
		4,736,999	04/1988	Marks et al.	
		4,772,226	09/1988	Schlesinger	
		4,791,539	12/13/88	Ewing	
		4,793,581	12/27/88	Bilson et al.	
		4,796,001	01/03/89	Gostyla	

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of 5

	Complete if Known	
Application Number	10/621,198	
Filing Date	July 16, 2003	
First Named Inventor	Wedell, et al.	
Art Unit	2875	
Examiner Name	Sember	
Attorney Docket Number	50010/287195	

	U.S. PATENT DOCUMENTS							
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials	No.'	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear			
		4,819,133	04/1989	Kochi et al.				
	*	4,882,667	11/1989	Skegin	*			
	*	4,905,133	2/1990	Mayer et al				
	*	4,907,142	03/1990	Burkarth				
_		4,907,139	03/06/90	Quiogue				
_		4,937,718	06/26/90	Murray				
_		4,956,756	09/1990	Hsaio				
	*	4,994,948	02/1991	Cooch				
		4,996,636	02/1991	Lovett				
	*	5,010,458	04/1991	Fraizer				
		5,134,554	07/1992	Donato et al.				
	*	5,141,325	08/1992	Huang				
		5,136,493	08/04/92	Straus et al.				
	*	5,192,129	03/1993	Figueroa				
		5,243,508	09/07/93	Ewing et al.				
_		5,249,112	09/28/93	Bray				
·		5,266,738	11/1993	MacVoy				
	*	5,287,259	02/1994	Lautzenheiser				
	*	5,313,379	05/1994	Lemons, et al.				
		5,351,174	09/27/94	Ewing				
		5,395,246	03/1995	Punako, et al.				
	*	5,450,302	09/1995	Maase et al.				
	*	5,481,445	01/1996	Sitzema, et al.				
	*	5,535,109	07/1996	Moore et al.				
	*	5,535,110	07/1996	Ling				
	*	5,544,030	08/1996	Wijbenga				
	*	5,581,448	12/1996	Harwood				
	*	5,651,612	07/1997	Braun				
	*	5,690,422	11/1997	Brass				

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 4 of 5

	Complete if Known	
Application Number	10/621,198	
Filing Date	July 16, 2003	
First Named Inventor	Wedell, et al.	
Art Unit	2875	
Examiner Name	Sember	
Attorney Docket Number	50010/287195	

			U.S. PATENT D	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
Initials	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	once bounier.	Passages or Relevant Figures Appear
	*	5,803,590	9/1998	Wedell et al	:
	*	5,806,957	09/1998	Prior et al.	
,,,	*	5,838,109	11/1998	Koyayashi et al	
	*	5,918,970	07/1999	Brohard et al	·
	*	5,941,632	8/1999	Wedell et al	
	*	6,059,427	05/2000	Wedell et al	
	*	6,127,782	10/2000	Flory IV et al.	
	*	6,132,065	10/2000	Wedell et al	
	*	6,241,367	06/2001	Wedell et al	
	*	6,302,564	10/2001	Wedell et al	
	*	6,419,378	07/2002	Wedell et al.	

		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages Columns Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	*	FR 938008	09/1948			
		UK 862292	03/1961			
		DE 1142963	01/1963			
		CH 973165	10/1964			
		DE 1489490	04/1969			
		DE 2215888	03/1972			
	*	FR 2495279	06/1982			
		EP 0059892	09/1982			
	*	JP Laid open # 6106854	04/1986			
		DE 3539389	05/1987	-	15	
		EP 0370825	05/1990	i		
		DE 9015538	03/1991			
		DE 9111264	07/1992			
	*	JP Laid open # 6111605	04/1994			
	*	JP Laid open # 6203616	07/1994			

Substitute for form 1449A/PTO Complete if Known 10/621,198 Application Number INFORMATION DISCLOSURE July 16, 2003 Filing Date STATEMENT BY APPLICANT Wedell, et al. First Named Inventor Art Unit 2875 (Use as many sheets as necessary) Examiner Name Sember 50010/287195 of | Sheet Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate) title of the item (book magazine journal serial symposium catalog etc.) date page(s) volume-issue number(s) publisher city and/or country where published.	T <sup>2</sup>			
	*	Product Brochure on Hubbell Lighting's Intelligent Ignitor System dated September 1999				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete including gathering preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer U.S. Patent and Trademark Office U.S. Department of Commerce P.O. Box 1450 Alexandria VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450.

If you need assistance in completing the form call 1-800-PTO-9199 and select option 2.